

FORM PTO-1449	SERIAL NO. Not Yet Assigned	CASE NO. 8285-681
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE Herewith	GROUP ART UNIT Not Yet Assigned
(use several sheets if necessary)	APPLICANT(S): FRANCZEK ET AL.	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
/DA/	A1	6,119,233	09/2000	Hawkins		
/DA/	A2	5,889,943	03/1999	Ji et al.		
/DA/	A3	5,960,170	09/1999	Chen et al.		
/DA/	A4	6,393,568 B1	05/2002	Ranger et al.		
/DA/	A5	6,338,141 B1	01/2002	Wells		
/DA/	A6	6,240,530 B1	05/2001	Togawa		
/DA/	A7	6,016,546	01/2000	Kephart et al.		
/DA/	A8	5,918,008	06/1999	Togawa et al.		
/DA/	A9	5,684,875	11/1997	Ellenberger		
/DA/	A10	5,509,120	04/1996	Merkin et al.		
/DA/	A11	4,975,950	12/1990	Lentz		
/DA/	A12	5,319,776	06/07/94	HILE ET AL.		
/DA/	A13	5,359,659	10/25/94	ROSENTHAL		
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/DA/	A15	5,485,575	01/16/96	CHESS ET AL.		
/DA/	A16	5,511,163	04/23/96	LARCHE ET AL.		
/DA/	A17	5,511,184	04/23/96	LIN		
/DA/	A18	5,623,600	04/22/97	JI ET AL.		
/DA/	A19	5,696,822	12/09/97	NACHENBERG		
/DA/	A20	5,832,208	11/03/98	CHEN ET AL.		
/DA/	A21	5,987,610	11/99	FRANCZEK ET AL.		
/DA/	A22	5,999,526	12/99	GARLAND ET AL.		
/DA/	A23	6,119,233	09/00	HAWKINS		
/DA/	A24	6,047,273	04/00	VAGHI		
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/DA/	A26	5,848,137 A	12/1998	Hsiao, Ray-Ling		
/DA/	A27	6,088,803 A	07/2000	Tso et al.		

EXAMINER /Devin Almeida/	DATE CONSIDERED 03/16/2007
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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(use several sheets if necessary)	APPLICANT(S): FRANCZEK ET AL.	

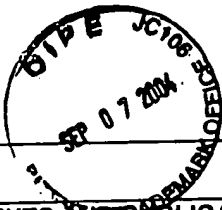
## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
/DA/	A28	Ian Leitch, "Computer viruses: a problem of management", Engineering Management Journal, pp. 21-24, February 1994
/DA/	A29	Gerald Tesauro, "Neural networks for computer virus recognition", IEEE, pp. 5-6, August 1996
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/DA/	A32	"DoD Products – Trusted Gateway System," <a href="http://www.tcs-sec.com/products/trusted-gateway-system/trusted-gateway-system.html">http://www.tcs-sec.com/products/trusted-gateway-system/trusted-gateway-system.html</a> , 2001, 4 pages.
/DA/	A33	"Intrusion Detection Systems," Panagiotis Astithas, <a href="http://www.daemonnews.org/199905/ids.html">http://www.daemonnews.org/199905/ids.html</a> , 1999, 6 pages.
/DA/	A34	"Annual Technical Report for Ada Embedded Systems Testbed Project," Weideman et al., December 1987, 58 pages.
/DA/	A35	"Multics Features," <a href="http://www.multicians.org/features.html">http://www.multicians.org/features.html</a> , January 12, 2003, 16 pages.
/DA/	A36	"Malicious Data and Computer Security," W. Olin Sibert, InterTrust Technologies Corporation, <a href="http://home.earthlink.net/~wolfboy/ARCHIVE/GenSecure/maldata.htm">home.earthlink.net/~wolfboy/ARCHIVE/GenSecure/maldata.htm</a> , printed February 19, 2003, 10 pages.
/DA/	A37	"2.4 The TUNIX Firewall: extensions and third-party components," <a href="http://www.tunix.nl/fire/wp-eng/chapter2.4.html">www.tunix.nl/fire/wp-eng/chapter2.4.html</a> , copyright 1997-99, 2000, printed February 19, 2003, 9 pages.
/DA/	A38	"SBC Donates \$7.3M Patent to UT," Austin Business Journal, <a href="http://austin.bizjournal.com/austin/stories/2003/02/03/daily6.html">http://austin.bizjournal.com/austin/stories/2003/02/03/daily6.html</a> , February 3, 2003, 1 page.
/DA/	A39	Third Party Email, February 18, 2003, 6 pages.
/DA/	A40	Third Party Email, March 2, 2003, 9 pages.
/DA/	A41	Third Party Email, March 14, 2003, 5 pages.
/DA/	A42	Third Party Email, March 18, 2003, 3 pages.
/DA/	A43	Third Party Email, March 20, 2003, 13 pages.
/DA/	A44	Third Party Email, May 12, 2003, 13 pages.
/DA/	A45	IPO Daily News, May 22, 2003, 1 page.
/DA/	A46	"Patent Donations Are Criticized," New York Times, March 17, 2003, 2 pages.

EXAMINER /Devin Almeida/	DATE CONSIDERED /Devin Almeida/
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FORM PTO-1449	SERIAL NO. 10/810,443	CASE NO. 8285-681
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE March 26, 2004	GROUP ART UNIT 2131
APPLICANT(S): Franczek et al.		

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
/DA/	A1	6,119,165	09/2000	Li et al.		
	A2					
	A3					
	A4					
	A5					
	A6					
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	A8					
	A9					
	A10					
	A11					
	A12					
	A13					

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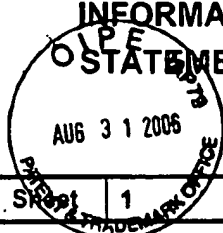
EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A14					
	A15					
	A16					
	A17					
	A18					

OTHER ART - NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL		(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
	A19	
	A20	
	A21	
	A22	
	A23	
	A24	

EXAMINER /Devin Almeida/	DATE CONSIDERED 03/16/2007
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**


Application Number	
Filing Date	03/26/2004
First Named Inventor	Edward J. Franczek
Group Art Unit	2131
Examiner Name	Unknown
Atty Docket Number	VERVE1100-5

Sheet 1 OF 1

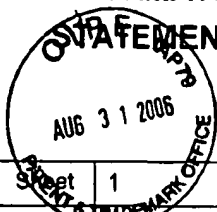
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Examiner Initials	Cite No.	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pgs. Columns, Lines Where Relevant Passages or Figures Appear
		Number	Kind Code (if known)			
/DA/	A1	6,397,335	B1	05/2002	Franczek, et al.	
/DA/	A2	6,119,233	A1	09/2000	Hawkins, Charles	
/DA/	A3	5,889,943	A1	03/1999	Ji, et al.	
/DA/	A4	5,960,170	A1	09/1999	Chen, et al.	
/DA/	A5	6,393,568	B1	05/2002	Ranger, et al.	
/DA/	A6	6,338,141	B1	01/2002	Wells, Joseph W.	
/DA/	A7	6,240,530	B1	05/2001	Togawa, Yoshifusa	
/DA/	A8	6,016,546	A1	01/2000	Kephart, et al.	
/DA/	A9	5,918,008	A1	06/1999	Togawa, et al.	
/DA/	A10	5,684,875		11/1997	Ellenberger, Hans	
/DA/	A11	5,509,120	A1	04/1996	Merkin, et al.	
/DA/	A12	4,975,950		12/1990	Lentz, Stephen	
/DA/	A13	6,397,335	A1	05/2002	Franczek, et al.	
/DA/	A14	5,832,208	A1	11/1998	Chen, et al.	
/DA/	A15	5,848,137	A1	12/1998	Hsiao, Ray-Ling	
/DA/	A16	5,889,943	A1	03/1999	Ji, et al.	
/DA/	A17	5,918,008	A1	06/1999	Togawa, et al.	
/DA/	A18	5,960,170	A1	09/1999	Chen, et al.	
/DA/	A19	5,987,610	A1	11/1999	Franczek, et al.	
/DA/	A20	6,016,546	A1	01/2000	Kephart, et al.	
/DA/	A21	6,088,803	A1	07/2000	Tso, et al.	
/DA/	A22	6,119,233	A1	09/2000	Hawkins, Charles	
/DA/	A23	6,240,530	B1	05/2001	Togawa, Yoshifusa	
/DA/	A24	6,338,141	B1	01/2002	Wells, Joseph	

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Examiner Initials	Cite No.	Country Code	Number	Kind Code (if known)	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

Examiner Signature	/Devin Almeida/	Date Considered	03/16/2007
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> 		Application Number		10/810,443			
		Filing Date		03/26/2004			
		First Named Inventor		Edward J. Franczek			
		Group Art Unit		2131			
		Examiner Name		Unknown			
Sheet	1	of	1	Atty Docket Number		VERVE1100-5	
Examiner Initials	Cite No.	<b>OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS</b>					
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/DA/	C2	"DoD Products- Trusted Gateway System," <a href="http://www.tcs-sec.com/products/trusted-gateway-system/trusted-gateway-system.html">http://www.tcs-sec.com/products/trusted-gateway-system/trusted-gateway-system.html</a> , 2001, 4 pages					
/DA/	C3	"Intrusion Detection Systems," Panagiotis Astithas, <a href="http://www.daemonnews.org/199905/ids.html">http://www.daemonnews.org/199905/ids.html</a> , 1999, 6 pages					
/DA/	C4	"Annual Technical Report for Ada Embedded Systems Testbed Project," Weideman, et al., December 1987, 58 pages					
/DA/	C5	"Multics Features," <a href="http://www.multicians.org/features.html">http://www.multicians.org/features.html</a> , January 12, 2003, 16 pages					
/DA/	C6	"Malicious Data and Computer Security," W. Olin Sibert, InterTrust Technologies Corporation, <a href="http://home.earthlink.net/~wolfboy/ARCHIVE/GenSecure/maldata.htm">home.earthlink.net/~wolfboy/ARCHIVE/GenSecure/maldata.htm</a> , printed February 19, 2003, 10 pages					
/DA/	C7	2.4 The TUNIX Firewall: extensions and third-party components," <a href="http://www.tunix.nl/fire/wp-eng/chapter2.4.html">www.tunix.nl/fire/wp-eng/chapter2.4.html</a> , copyright 1997-99, 2000, printed February 19, 2003, 9 pages					
/DA/	C8	"SBC Donates \$7.3 M Patent to UT," Austin Business Journal, <a href="http://austin.bizjournal.com/austin/stories/2003/02/03daily6/html">http://austin.bizjournal.com/austin/stories/2003/02/03daily6/html</a> , February 3, 2003, 1 Page					
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/DA/	C10	Third Party Email, March 2, 2003, 9 pages					
/DA/	C11	Third Party Email, March 2, 2003, 9 pages					
/DA/	C12	Third Party Email, March 14, 2003, 5 pages					
/DA/	C13	Third Party Email, March 18, 2003, 3 pages					
/DA/	C14	Third Party Email, March 20, 2003, 13 pages					
/DA/	C15	Third Party Email, May 12, 2003, 13 pages					
/DA/	C16	IPO Daily News, May 22, 2003, 1 page					
/DA/	C17	"Patent Donations Are Criticized," New York Times, March 17, 2003, 2 pages					
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